

## Application

<b>Federal Award Identification Number (FAIN)</b>	OR-2021-054-00
<b>Temporary Application Number</b>	6978-2021-3
<b>Application Name</b>	CRRSAA - FY2021 Section 5311 TTP Operations and Indirect Costs for the Confederated Tribes of Warm Springs Reservation of Oregon
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6978	Indian Tribe	CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON	041266073

Location Type	Address	City	State	Zip
Headquarters	1233 VETERANS ST	WARM SPRINGS	OR	97761
Physical Address	1233 VETERANS ST	WARM SPRINGS	OR	97761
Mailing Address	P.O. BOX C	WARM SPRINGS	OR	97761

### Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: CRRSAA - FY2021 Section 5311 TTP Operations and Indirect Costs for the Confederated Tribes of Warm Springs Reservation of Oregon</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2021-054-00	Final Concurrence / Reservation	Grant	9/9/2021	9/9/2021	No

### Application Executive Summary

This grant includes \$73,949 in CRRSAA - FY2021 Section 5311 TTP funds, the full amount allocated to the Confederated Tribes of Warm Springs Reservation of Oregon, at 100% federal share, in order to prevent, prepare for, and respond to the coronavirus pandemic.

Purpose: Provide funding for operating and indirect costs to sustain transit services.

Activities to be performed: Activities funded under this grant include operations and indirect costs for transit services in and around the Confederated Tribes of Warm Springs Reservation. Operation costs include but are not limited to the cost of contracted transit services from COIC (Cascade East Transit) that will run services between Warm Springs and the City of Madras, Oregon, disinfecting supplies and equipment, and PPE's.

Expected Outcomes: Sustained and safe transit services are maintained during the coronavirus pandemic.

Intended Beneficiaries: Tribal members and the general public in and around the reservation.

Subrecipient Activities: There are no subrecipients under this agreement.

### Special Condition:

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved The Confederated Tribes of Warm Springs Reservation of Oregon's indirect cost rate on 8/25/2021 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 20.11% or the most current rate will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Kristine	Ziglar	Transportation Program Specialist	Kristine.Ziglar@dot.gov	(206) 220-7963
Elfreda	Gentry	Budget Supervisor	elfreda.gentry@wstribes.org	(541) 553-3375
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$73,949
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$73,949</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-054-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$73,949.00	\$0.00	\$73,949.00	0
OR-2021-054-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$2,500.00	\$0.00	\$2,500.00	0
OR-2021-054-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$71,449.00	\$0.00	\$71,449.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CRRSAA - FY2021 Section 5311 TTP Operations and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2021-054-01-00	6978-2021-3-P1	9/9/2021	1/20/2020	12/31/2022

#### Project Description

This grant includes \$73,949 in CRRSAA - FY2021 Section 5311 TTP funds, the full amount allocated to the Confederated Tribes of Warm Springs Reservation of Oregon, at 100% federal share, in order to prevent, prepare for, and respond to the coronavirus pandemic. Activities funded under this grant include operations and indirect costs for transit services in and around the Confederated Tribes of Warm Springs Reservation. Operation costs include but are not limited to the cost of contracted transit services from COIC (Cascade East Transit) operating services between Warm Springs and the City of Madras, Oregon, disinfecting supplies and equipment, and PPE's.

#### Project Benefits

Sustained and safe transit services are maintained during the coronavirus pandemic.

#### Additional Information

*None provided.*

#### Location Description

Transit community connector operations between Warm Springs and Madras with some circulation in both communities (Jefferson County and Clackamas County)

## Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

## Congressional District Information

State	District	Representative
Oregon	2	Greg Walden

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$73,949
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$73,949</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-054-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$73,949.00	\$0.00	\$73,949.00	0
OR-2021-054-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$2,500.00	\$0.00	\$2,500.00	0
OR-2021-054-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$71,449.00	\$0.00	\$71,449.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (CRRSAA FY2021 Section 5311 TTP 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

This ALI funds \$2,500 in CRRSAA FY2021 Section 5311 TTP at 100% Federal for indirect costs using a Federally approved indirect cost rate related to transit expenses for the time period of 1/20/2020 through 12/31/2022.

### STIP

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$2,500
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,500</b>

Milestone Name	Est. Completion Date	Description
Start Indirect	1/20/2020	
End Indirect	12/31/2022	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2021 Section 5311 TTP 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This ALI funds \$71,449 in CRRSAA FY2021 Section 5311 TTP at 100% Federal for operating costs including but not limited to contracted transit services for COIC (Cascades East Transit) for general public transit services between Warm Springs and the City of Madras, Oregon for the time period of 1/20/2020 through 12/31/2022.

**STIP**

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$71,449
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$71,449</b>

Milestone Name	Est. Completion Date	Description
Start Operating	1/20/2020	The Confederated Tribes of Warm Springs Reservation of Oregon is purchasing services from COIC which operates Cascades East Transit and provides operations supporting the transit in and around the reservation. Funds used in this agreement will support activities in the existing purchase of service contract.
End Operating	12/31/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Kristine Ziglar**

Comment Type DOL Review for Information

Date 9/20/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in



the grant.

## Application Review Comments

**Comment By** Kristine Ziglar

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**Comment Type** Pre-Award Manager Returns Application

**Date** 9/16/2021

**Comment** Returning to grantee to correct funding source on one ALI.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON

Recipient ID: 6978

DUNS No: 041266073

## **Application Information**

Federal Award Identification Number: OR-2021-054-00

Application Name: CRRSAA - FY2021 Section 5311 TTP Operations and Indirect Costs for the Confederated Tribes of Warm Springs Reservation of Oregon

Application Start Date: N/A

Original Award End Date: 3/31/2023

Current Award End Date: N/A

Application Executive Summary: This grant includes \$73,949 in CRRSAA - FY2021 Section 5311 TTP funds, the full amount allocated to the Confederated Tribes of Warm Springs Reservation of Oregon, at 100% federal share, in order to prevent, prepare for, and respond to the coronavirus pandemic.

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Expected Outcomes: Sustained and safe transit services are maintained during the coronavirus pandemic.

Intended Beneficiaries: Tribal members and the general public in and around the reservation.

Subrecipient Activities: There are no subrecipients under this agreement.

Special Condition:

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$73,949.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$73,949.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$73,949.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$73,949
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$73,949</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON*